

# Tax file number notification form

Complete this form to provide us your Tax file number (TFN).

If you provide a country of residence other than Australia, we may request additional information or documentation to identify your foreign tax residency status and may provide your information to the Australian Taxation Office to comply with our FATCA (US Foreign Account Tax Compliance Act) or CRS (Common Reporting Standard) obligations.

**! Important:** Please ensure that you include certified identification documents if we do not hold your signatory on file. You may refer to Vanguard's certification guide for our certification requirements. You can find it at <https://www.vanguard.com.au/personal/en/pds-and-offer-documents>.

To ensure your tax declaration is effective for upcoming distribution periods, Vanguard requires updates to be processed at least 5 business days before the end of the distribution period. Any declaration received thereafter will be processed at the beginning of the next distribution period.

**! Important:** Print in CAPITAL LETTERS.

If you need other forms, please go to: <https://www.vanguard.com.au/personal/en/forms-and-notice>

## Questions?

Call 1300 655 101 (Australia)

Call (+61) 3 8888 3888 (Overseas)

The Vanguard Personal Investor team is available from 8am to 6pm Monday to Friday (Melbourne time)

## 1. Account details (must be completed)

Account number <input type="text"/>	Phone number ( <input type="text"/> ) <input type="text"/>
Investor name <input type="text"/>	

## 2. Tax File Number (TFN) notification or exemption (please select one)

You may choose to quote your ABN, TFN or claim an exemption in relation to your investment by completing this section. Collection of your TFN is authorised, and its use and disclosure are strictly regulated by tax laws and the Privacy Act. You are not legally obliged to quote your TFN but if you choose not to, and do not claim an exemption, we are required to deduct tax on any income distribution and dividend at the prescribed rate (at the date of this form, the prescribed rate is the highest marginal tax rate plus Medicare levy).

<input type="checkbox"/> I wish to quote my TFN	<input type="text"/>
<input type="checkbox"/> I wish to quote an ABN	<input type="text"/>
<input type="checkbox"/> I do not wish to quote my TFN	
<input type="checkbox"/> I am exempt from quoting a TFN (Please refer to ATO website to determine if you are entitled to an exemption)	Reason <input type="text"/>

## 3. Privacy

Vanguard is committed to respecting the privacy of your personal information. For information about Vanguard's approach to managing your personal information, please view our Privacy Policy at <https://www.vanguard.com.au/personal/en/privacy>.

## 4. Signatures (must be completed)

This form must be signed by the authorised signatories Vanguard has on file for your account. Each signatory below confirms that they have been duly authorised to execute this instruction..

### Authorised signatory 1

Signature
Name
Date
Position (please select one) <input type="checkbox"/> Investor <input type="checkbox"/> Director <input type="checkbox"/> Trustee <input type="checkbox"/> Other _____

### Authorised signatory 2

Signature
Name
Date
Position (please select one) <input type="checkbox"/> Investor <input type="checkbox"/> Director <input type="checkbox"/> Trustee <input type="checkbox"/> Other _____



**Before  
you  
submit**

## Checklist

Before you submit your application, please ensure:

- You have attached certified copies of your identification.

For more information regarding the requirements, you can find Vanguard's certification guide at <https://www.vanguard.com.au/personal/en/pds-and-offer-documents>.

- The existing signatories have signed and dated section 4 'Signatures'.

## What's next?

You can return this form via post. Please do not upload this form via Vanguard Online secure message.

### Mailing address:

Vanguard Personal Investor  
 GPO Box 1837  
 Melbourne, VIC 3001